

CASE STUDY\*

# SBLOC FLEXIBILITY Enables Tuition Assistance



## DETAILS

- Clients' granddaughter is set to attend The Wharton School, and they want to contribute toward her tuition expenses.
- As an alternative to liquidating part of their investment portfolio, which is an income source, the financial professional recommends the Securities-Backed Line of Credit (SBLOC) solution.
- Using proceeds from the line of credit, the grandparents write a check every semester to help defray tuition and textbook expenses.

## RESULTS

### Financial Professional

Retains current AUM; forges connection with granddaughter as a future client.

### Client

Assists granddaughter while keeping retirement strategy and income intact.



## CONTACT US

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\* This case study of an actual client experience shows a potential use of the securities-backed line of credit (SBLOC). Individual borrower's results may differ based upon multiple variable circumstances and factors, including, but not limited to: tax and other liabilities, SBLOC borrowing rates, and portfolio drawing power. The SBLOC is a nonpurpose loan, the proceeds of which cannot be used for the purchase of securities or to refinance a loan used to purchase securities. Collateral-based borrowing may not be suitable for everyone. Financial professionals should ensure that clients understand any associated risks. Clients should consult a tax advisor for tax-related matters and an attorney for legal matters. The Bancorp does not provide investment, tax or legal advice. Rates, terms and conditions of loan products are subject to change without notice.